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Page 1 of 2

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| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|------------------------|-----------------------|------------------------|
| 10/757,948 | 01/14/2004 | Cesar M. Diaz | 112803.RTI |

Cesar M. Diaz
17915 Skypark Circle - Ste. D
Irvine, CA 92614

CONFIRMATION NO. 5066

FORMALITIES LETTER



OC000000012428432

Date Mailed: 04/22/2004

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted.

An application number and filing date have been accorded to this application. The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52, 1.121(b)(3), and 1.125, is required. The specification, claims, or abstract page(s) submitted is not acceptable and cannot be scanned or properly stored because:
 - The line spacing on the specification, claims, or abstract is not 1½ or double spaced (see 37 CFR 1.52(b)).
- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
 - The drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch). See Figures(s) fig 1, 10.
- Replacement claim(s) commencing on a separate sheet in compliance with 37 CFR 1.75(h) and 1.121 is required.

Replies should be mailed to: Mail Stop Missing Parts 07/26/2004 MBIZUNES 00000048 10757948
Commissioner for Patents 01 FC:2051 65.00 DP
P.O. Box 1450
Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

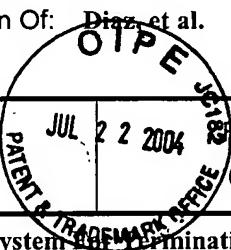
PART 2 - COPY TO BE RETURNED WITH RESPONSE

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.
20664.007

In Re Application Of: Diaz, et al.

Serial No.
10/757,948



Filing Date
01/14/04

Examiner

Group Art Unit

Invention: **A System For Terminating Heart Arrhythmia Using Electrical Shocks Delivered Through A Set Of Internal and External Electrodes Configurable to a Multitude of Shock Configurations by Selecting the Shock Vector(s) On A Control Device that Also Provides Over Shock Safety for Patients**

Mail Stop Missing Parts

TO THE COMMISSIONER FOR PATENTS:

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
04/22/04
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ _____ verified small entity declaration(s)
 - ☒ is/are attached.
 - ☐ was/were filed on _____
- ☐ A separate request for refund.
- ☒ Other (list):

Substitute Specification
Seven (7) Replacement Drawings
Replacement Claims
Power of Attorney

07-23-04

IFC

| | | | |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------|-------------------------|----------------|
| CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) | | Docket No. 20664.007 | |
| Applicant(s): Diaz, et al | | | |
| Serial No. 10/757,942 | Filing Date 01/14/04 | Examiner | Group Art Unit |
| Invention: A System For Terminating Heart Arrhythmia Using Electrical Shocks Delivered Through A Set Of Internal And External Electrodes Configurable To A Multitude Of Shock Configurations By Selecting The Shock Vector(s) On A Control Device That Also Provides Over Shock Safety For Patients | | | |
| I hereby certify that the following correspondence: | | | |
| Response to Notice to File Missing Parts of Application | | | |
| (Identify type of correspondence) | | | |
| is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on | | | |
| July 22, 2004 (Date) | | | |
| Sarah Horner (Typed or Printed Name of Person Mailing Correspondence) | | | |
| Sarah Horner (Signature of Person Mailing Correspondence) | | | |
| EV 480488824 US ("Express Mail" Mailing Label Number) | | | |
| Note: Each paper must have its own certificate of mailing. | | | |

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.
20664.007

In Re Application Of **DAZ et al.**

Serial No.
10/757,948

Filing Date
01/14/04

Examiner

Group Art Unit

Invention: **A System For Terminating Heart Arrhythmia Using Electrical Shocks Delivered Through A Set Of Internal and External Electrodes Configurable to a Multitude of Shock Configurations by Selecting the Shock Vector(s) On A Control Device that Also Provides Over Shock Safety for Patients**

TO THE COMMISSIONER FOR PATENTS:

Mail Stop Missing Parts

☒ Completion of application fees as calculated below:

☐ Utility application filing fee

☐ Design application filing fee

☐ Total number of independent claims = _____

☐ Total number of claims = _____

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$65.00**

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees **\$65.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☒ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: 06/22/04 until: 07/22/04
Date Date

07/26/2004 MBIZUNES 00000048 10757948

Total time extension fees **\$55.00**

02 FC:2251

55.00 0P

Total fees due **\$120.00**

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.
20664.007

In Re Application Of **Diez, et al.**

Serial No.
10/757,948



Filing Date
01/14/04

Examiner

Group Art Unit

Invention: A System For Terminating Heart Arrhythmia Using Electrical Shocks Delivered Through A Set Of Internal and External Electrodes Configurable to a Multitude of Shock Configurations by Selecting the Shock Vector(s) On A Control Device that Also Provides Over Shock Safety for Patients

TO THE COMMISSIONER FOR PATENTS:

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The fee of \$120.00 is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-2555
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 50-2555

A handwritten signature in cursive script, appearing to read "Charles D. Gunter, Jr.".

Signature

Dated: July 22, 2004

Charles D. Gunter, Jr.
Reg. No. 29,386
Whitaker, Chalk, Swindle & Sawyer, LLP
3500 City Center Tower II
301 Commerce Street
Fort Worth, Texas 76102-4186

I certify that this document and fee is being deposited on 07-22-04 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

A handwritten signature in cursive script, appearing to read "Sarah Horner".
Signature of Person Mailing Correspondence

Sarah Horner

Typed or Printed Name of Person Mailing Correspondence

CC: